Listing Department

Membership application

## General data

| | | |
|---|---|---|
| | Arabic long name | 1 |
| | English long name | 2 |
| | Arabic short name | 3 |
| | English short name | 4 |
| | Date of registration of the company at the Ministry of Industry and Trade | 5 |
| | Date of approval on licensing of the company at Jordan Securities Commission | 6 |
| | Legal status of the company | 7 |
| | Authorized capital / JD | 8 |
| | Paid in capital / JD | 9 |
| | Mail box | 10 |
| | E-mail | 11 |
| | Number of branches/ offices | 12 |
| | Compliance officer | 13 |
| | Compliance officer phone number | 14 |
| | Liaison officer | 15 |
| | Liaison officer phone number | 16 |

## Licenses given by Jordan Securities Commission:

**1.**

**2.**

**3.**

**4.**

**5.**

**6.**

**7.**

**8.**

**9.**

**10.**

**The names of Authorized Signatories and their signatures' forms:**

| Signature's form | position | name | |
|---|---|---|---|
| | | | 1 |
| | | | 2 |
| | | | 3 |
| | | | 4 |
| | | | 5 |
| | | | 6 |
| | | | 7 |
| | | | 8 |
| | | | 9 |
| | | | 10 |

**The Names of partners, number of shares owned and the ownership percentage in the capital of the company OR the names of the shareholders who own (5%) or more of the company's shares:**

| **Percentage (%)** | Number of shares | Name | |
|---|---|---|---|
| | | | 1 |
| | | | 2 |
| | | | 3 |
| | | | 4 |
| | | | 5 |
| | | | 6 |
| | | | 7 |

**The names of the members of the Board of Directors or of the Chief Executive Officers of the broker and names of the senior management and their shares in the broker's capital:**

| Percentage (%) | position | name | |
|---|---|---|---|
| | | | 1 |
| | | | 2 |
| | | | 3 |
| | | | 4 |
| | | | 5 |
| | | | 6 |
| | | | 7 |
| | | | 8 |
| | | | 9 |
| | | | 10 |

**The names of certified brokers by Jordan Securities Commission working in the company (At least two brokers):**

| Date of Accreditation | Branch | Name | |
|---|---|---|---|
| | | | 1 |
| | | | 2 |
| | | | 3 |
| | | | 4 |
| | | | 5 |
| | | | 6 |
| | | | 7 |
| | | | 8 |
| | | | 9 |
| | | | 10 |
| | | | 11 |
| | | | 12 |
| | | | 13 |
| | | | 14 |
| | | | 15 |
| | | | 16 |
| | | | 17 |
| | | | 18 |
| | | | 19 |
| | | | 20 |

**The names of employees:**

| position | name | |
|---|---|---|
| | | 1 |
| | | 2 |
| | | 3 |
| | | 4 |
| | | 5 |
| | | 6 |
| | | 7 |
| | | 8 |
| | | 9 |

| | | |
|---|---|---|
| | | 10 |
| | | 11 |
| | | 12 |
| | | 13 |
| | | 14 |
| | | 15 |

## Head office and branches information

### Head office

| | | | |
|---|---|---|---|
| | | | address |
| | Number of brokers | | Office manager |
| | Number of trading screens | | Phone number |
| | Number of monitoring screens | | Fax number |

### Branch 1

| | | | |
|---|---|---|---|
| | | | address |
| | Number of brokers | | branch manager |
| | Number of trading screens | | Phone number |
| | Number of monitoring screens | | Fax number |

### Branch 2

| | | | |
|---|---|---|---|
| | | | address |
| | Number of brokers | | branch manager |
| | Number of trading screens | | Phone number |
| | Number of monitoring screens | | Fax number |

### Branch 3

| | |
|---|---|
| | address |

| | | | |
|---|---|---|---|
| | Number of brokers | | branch manager |
| | Number of trading screens | | Phone number |
| | Number of monitoring screens | | Fax number |

**: Required Documents**

1. Certification of incorporation and the right to practice
2. Articles and Memorandum of Association of the company
3. Copy of the license granted by the Commission to the company to practice as a broke
4. Issuers that the company or any of its board members or its executive officers or managers or its registered persons own 5% or more of the securities issued thereby.
5. Name and address of the company's auditor.
6. Name of a full-time general manager and financial manager.
7. The last annual financial report audited by the company's auditor, if any.
8. Written working procedures related to broker's works.

**Endorsement and Undertaking**:

- The company that submitted the application admits on its full responsibility that all information attached with this application is correct and the ASE has the right to take all necessary procedures in accordance with the legislation in force, if otherwise.
- The company approves on the Stock Exchange's provision of information related to the company to any official competent party requesting such information.

- The company commits to respect the provisions of the applicable securities Law, and the regulations, instructions and decisions issued by virtue thereof.

- The company commits to inform the ASE in any changes in the information contained in the application upon its occurrence.

Name of Authorized Signatory:

Position:

Signature and company’s stamp:

Date:

**Note**: This application is submitted to Listing and Operations department at the ASE, attached with the names of employees, divisions and departments of the company.

Source URI:

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